

# **VTE Prophylaxis in Orthopedic and Non-Orthopedic Surgeries**

**Niloufar Akbari Parsa, MD**

**Cardiologist, Fellowship of Adults Echocardiography**

**Assistant Professor of Guilan University of Medical sciences**

# Orthopedic Surgeries



1950s 1960s 1970s 1980s 1990s 2000s 2010s 2020s 2030s

Major orthopedic surgery



Thromboprophylaxis



Anticoagulants > mechanical, aspirin  
Longer > shorter

Population  
approach

1950s 1960s 1970s 1980s 1990s 2000s 2010s 2020s 2030s

Major orthopedic surgery



Risk assessment



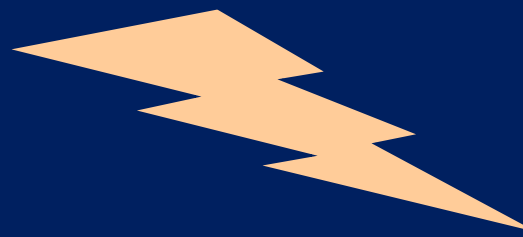
Surgical factors  
Type of surgery  
Length of stay

Patient factors  
Risk of VTE  
Risk of bleeding



Individual patient-tailored  
thromboprophylaxis

Individualized  
approach



The risk of postoperative VTE in orthopedic patients  
**is among the highest of all surgical specialties**

The risk of postoperative VTE and bleeding in orthopedic patients

**Patient related**

**Procedure related**



## Procedure-related

- Extent and duration of surgery,
- The type of anesthesia,
- Likelihood for immobilization and casting postoperatively
- Injury and compression of the femoral vein due to flexion and adduction of the hip during hip surgery
- Use of a thigh tourniquet during knee surgery
- Performing bilateral as opposed to unilateral arthroplasty



## Assessing the risk of thrombosis

At baseline, orthopedic surgeries are considered:

|                  |   |   |
|------------------|---|---|
| <b>High risk</b> | <b>Hip and knee arthroplasty</b><br><b>Hip fracture surgery</b><br><b>Pelvic multiple fractures</b><br><b>Multiple fractures from severe trauma</b>   | <b>Risk of VTE about 5%</b><br><b>(without prophylaxis)</b> |
| <b>Low risk</b>  | <b>Tibia, foot and ankle fractures require casting</b><br>(foot and ankle fractures, tibial osteotomy,<br>tendon repair, hallux valgus repair)<br><b>Shoulder, elbow and hand surgery</b><br><b>Arthroscopy</b> | <b>Risk of VTE &lt;2%</b><br><b>(without prophylaxis)</b>   |

# Patient-related

## Risk factors (causes) for the development of venous thrombosis

| Inherited thrombophilia      |
|------------------------------|
| Factor V Leiden mutation     |
| Prothrombin G20210A mutation |
| Protein S deficiency         |
| Protein C deficiency         |
| Antithrombin deficiency      |



| Other disorders and risk factors  |
|---|
| Presence of a central venous catheter   |
| Malignancy  |
| Surgery, especially orthopedic  |
| Trauma  |
| Immobilization  |
| Pregnancy   |
| Oral contraceptives   |
| Hormone replacement therapy   |
| Certain cancer therapies (eg, tamoxifen, thalidomide, lenalidomide, asparaginase) |
| Heart failure   |
| Congenital heart disease  |
| Antiphospholipid syndrome   |
| Older age ( $\geq 65$ years)  |
| Obesity   |
| Severe liver disease  |
| Myeloproliferative neoplasms  |
| Polycythemia vera   |
| Essential thrombocythemia   |
| Paroxysmal nocturnal hemoglobinuria   |
| Inflammatory bowel disease  |
| Nephrotic syndrome  |

## What's new in VTE risk and prevention in orthopedic surgery

Susan R. Kahn MD, MSc<sup>1</sup>   | Sudeep Shivakumar MD, MPH<sup>2</sup>

### Risk factors for VTE in patients undergoing major orthopedic surgery



#### Risk factor

#### Multivariable odds ratio

Previous VTE

3.4-26.9

Cardiovascular disease

1.4-5.1

Charlson comorbidity index  $\geq 3$

1.5-2.6

BMI  $> 25 \text{ kg/m}^2$

1.8

Family history of VTE

1.4

Older age (per 5 y increase vs age  $< 40 \text{ y}$ )

1.1

Age  $\geq 85 \text{ y}$

2.1



Varicose veins

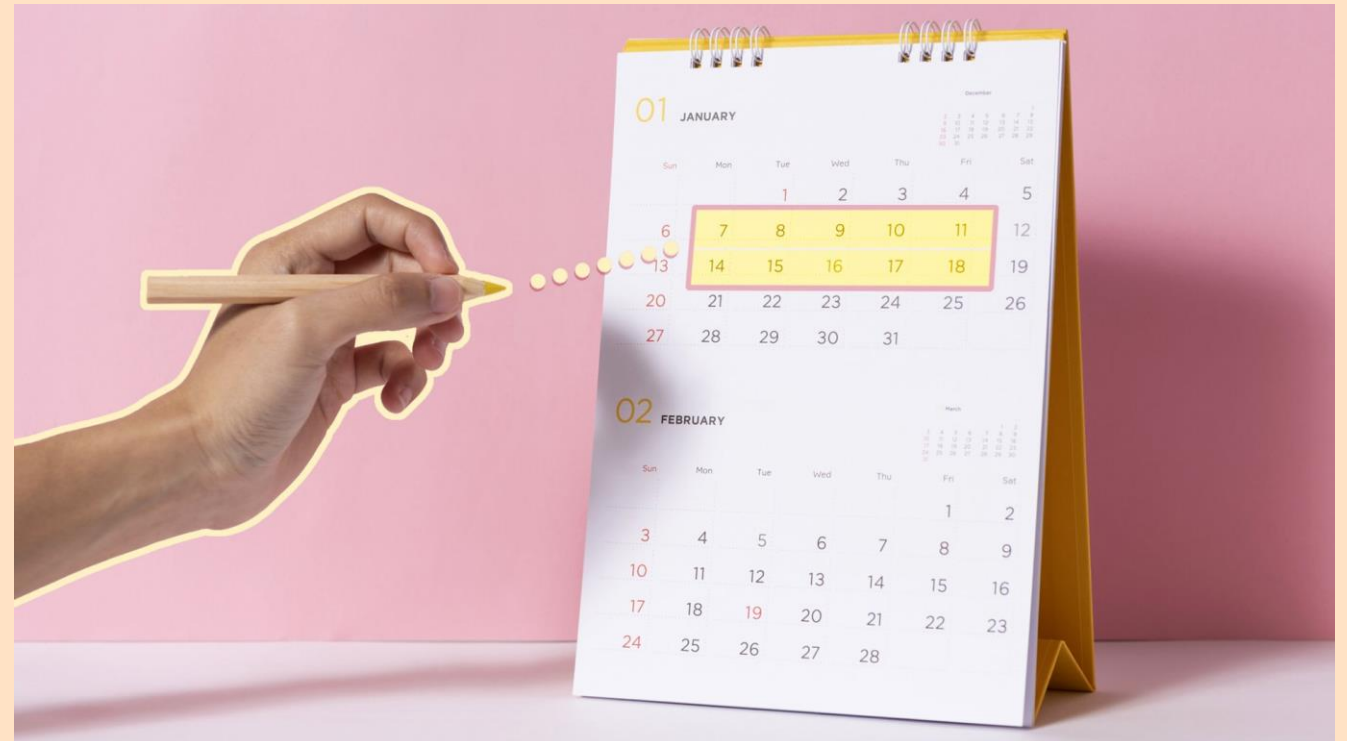
3.6

Ambulation before postoperative day 2

0.7



Highest risk occurring in the first 7 to 14 days and  
rates fall in the subsequent 15 to 35 days



# Assess the risk of bleeding

## Indication of pharmacologic VTE prophylaxis??

### A full history and examination:

- Fatal bleeding
- Symptomatic bleeding in a critical area or organ
- Bleeding causing a fall in hemoglobin of  $\geq 2$  g/dl or leading to transfusion of two or more units of whole blood or red cells,
- Bleeding requiring reoperation

### Individual risk factors for bleeding :

- Contraindications to pharmacologic prophylaxis (eg, active bleeding or intracranial hemorrhage),
- Patients who have underlying bleeding diathesis or thrombocytopenia (eg, platelet count  $< 50,000/\mu\text{L}$ )
- Patients in whom the risk of bleeding is potentially catastrophic

**\*\*\* Epistaxis and menstrual bleeding are not contraindications to pharmacologic thromboprophylaxis**

Procedural bleeding risk

High bleeding risk procedure (two-day risk of major bleed 2 to 4%)

|  |
|--|
| Any major operation of duration >45 minutes                                    |
| Abdominal aortic aneurysm repair   |
| Coronary artery bypass   |
| Endoscopically guided fine-needle aspiration                                   |
| Foot/hand/shoulder surgery   |
| Heart valve replacement  |
| Hip replacement  |
| Kidney biopsy  |
| Knee replacement   |
| Laminectomy  |
| Neurosurgical/urologic/head and neck/abdominal/breast cancer surgery           |
| Polypectomy, variceal treatment, biliary sphincterectomy, pneumatic dilatation |
| Transurethral prostate resection   |
| Vascular and general surgery   |

Bleeding risk is higher for patients with multiple orthopedic fractures, and those with concomitant traumatic injuries.

Low bleeding risk procedure (two-day risk of major bleed 0 to 2%)

|  |
|--|
| Abdominal hernia repair  |
| Abdominal hysterectomy   |
| Arthroscopic surgery lasting <45 minutes   |
| Axillary node dissection   |
| Bronchoscopy with or without biopsy  |
| Carpal tunnel repair   |
| Cataract and noncataract eye surgery   |
| Central venous catheter removal  |
| Cholecystectomy  |
| Cutaneous and bladder/prostate/thyroid/breast/lymph node biopsies  |
| Dilatation and curettage   |
| Gastrointestinal endoscopy ± biopsy, enteroscopy, biliary/pancreatic stent without sphincterotomy, endosonography without fine-needle aspiration |
| Hemorrhoidal surgery   |
| Hydrocele repair   |
| Noncoronary angiography  |
| Pacemaker and cardiac defibrillator insertion and electrophysiologic testing   |
| Thoracentesis  |
| Tooth extractions  |

# TOTAL HIP OR KNEE ARTHROPLASTY AND HIP FRACTURE SURGERY with Low bleeding risk:

## Recommendation

Pharmacologic prophylaxis with or without intermittent pneumatic compression devices

## Initial agent of choice

LMWH or DOACs; among the DOACs, rivaroxaban or apixaban are preferred

- For patients undergoing hip fracture surgery (HFS) → DOACs have not been evaluated and avoided until their safety and efficacy are proven
- Aspirin should not be used as the sole initial agent for VTE prophylaxis but switching to aspirin following a short course (eg, five days) of rivaroxaban may be suitable for selected low risk patients

# First line options

- **Low molecular weight heparin:** Highly effective and associated with a low risk of major bleeding; these features together with the extensive experience with LMW heparin have traditionally made it the agent of choice for those in whom pharmacologic prophylaxis is indicated.
- **Direct oral anticoagulants:** DOACs may also be an option in patients with a history of heparin-induced thrombocytopenia (HIT), for those unwilling to receive injections.
- **Aspirin:** Aspirin has been studied in the setting of extended prophylaxis, as a single agent or following a short course of anticoagulation. We prefer the use of aspirin be limited to the latter.

## Second line options

- **Low-dose unfractionated heparin:** alternative to LMWH
- **Fondaparinux:** Fondaparinux is an alternative to LMWH and UFH when these agents are not available or in patients with heparin-induced thrombocytopenia. It is **associated with an increased risk of bleeding**.
- **Warfarin:** Therapeutic anticoagulation with warfarin (usually for four weeks) is an alternative to LMW heparin or low-dose UFH (eg, patients in whom injections are not feasible or are undesirable). Dosing of warfarin should continue to target an INR of 2.5.

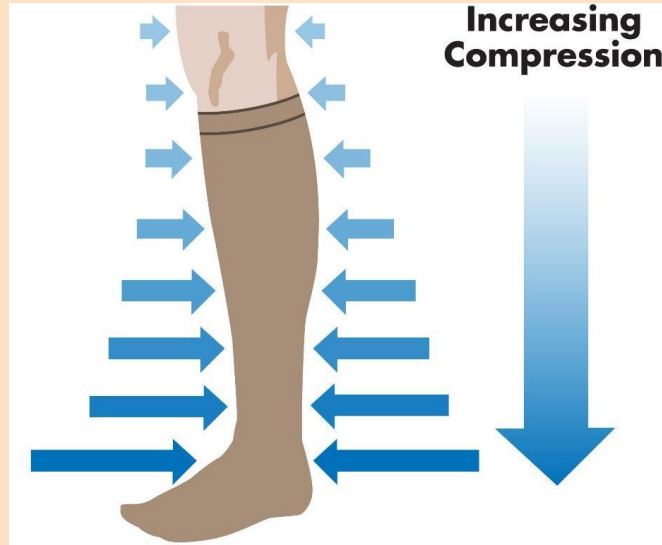
# High bleeding risk: Mechanical methods

Intermittent pneumatic compression



The preferred method

Graduated compression stockings (elastic stockings)



venous foot pump



Less effective than LMWH or warfarin / lower bleeding risk

✓ In case of lower efficacy, switching to or adding a pharmacologic agent, such as LMW heparin, should be done as soon as hemostasis is assessed as adequate, bleeding risk becomes acceptably low, and/or the bleeding diathesis has been reversed.

✗ Their major disadvantage is poor compliance with one study reporting that properly functioning devices were used in <50 percent of cases

✗ Skin breakdown



# Administration

## Low molecular weight and unfractionated heparin

**Should not be administered close to surgery** (eg, within four hours preoperatively and within four hours postoperatively) **due to unacceptable bleeding risk.**

**Administer LMWH  
in 12 hours or more preoperatively and/or 12 hours or more postoperatively**



# Fondaparinux

**Start six or more hours after skin closure** consistent with major trials that demonstrated its efficacy.

**\*\*\* However, many experts administer the first dose 8 to 12 hours postoperatively to mitigate the bleeding risk, a practice that is also consistent with guidelines.**

## Oral agents

warfarin, aspirin, and DOACs



Begin 8 to 12 hours or more after surgery  
(provided the patient can eat)

# Mechanical methods

For those in whom mechanical methods (IPC, GCS, VFP) are indicated:

Devices are typically placed on the patient **just prior to the start of surgery and used continuously postoperatively until hospital discharge or ambulation**

\*\*\*When mechanical methods are used in patients at high risk of bleeding, **pharmacologic agents are started or added postoperatively, as soon as hemostasis is achieved and it is considered safe (eg, 12 to 72 hours)**



**Duration**

In patients with THA, TKA, or HFS:

Administration of pharmacologic prophylaxis for a minimum of 10 to 14 days and we suggest that it be continued for up to 35 days after surgery

- Most clinicians prefer courses within the lower end of that range (eg, 10 to 14 days) in those undergoing TKA with longer courses in the upper end of that range (eg, 30 days) in those undergoing THA.



# Dosing



|              |   |   |   |   |
|--------------|---|---|---|---|
| LMWH         | Enoxaparin  | THA and HFS   | 30 mg subcutaneously every 12 hours OR 40 mg once daily | Start either ≥12 hours before or ≥12 hours after surgery  |
|              |   | TKA   | 30 mg subcutaneously every 12 hours                     |   |
|              | Dalteparin  | THA and TKA   | 5000 units subcutaneously once daily                    |   |
| UFH          | 5000 units subcutaneously twice daily (less commonly three times daily) |   |   | In obese patients some experts use 7500 units twice daily   |
| Fondaparinux | 2.5 mg subcutaneously once daily  |   | 8 to ≥12 hours after surgery                            | Contraindicated in patients who weigh <50 kg and avoided in those with renal insufficiency                          |
| Warfarin     | Start at 5 mg orally once daily   |   | Warfarin is started 12 to 24 hours after surgery        | Some experts adjust therapeutic target INR of 1.5 to 2.5 but most experts adjusted to target an INR of 2.5 (2 to 3) |
| Aspirin      | Typically 81 mg once daily or less commonly, 160 mg once daily          |   |   |   |
| DOACs        | Rivaroxaban   | 10 mg once daily started 6 to ≥10 hours after surgery                                   |   |   |
|              | Apixaban  | 2.5 mg twice daily starting ≥12 hours after surgery                                     |   |   |
|              | Dabigatran  | Initially 110 mg given one to four hours after surgery and thereafter 220 mg once daily |   |   |

Major orthopedic surgery

Warfarin (target INR 2 to 3) or

Enoxaparin 30 mg SC bid or

Enoxaparin 40 mg SC qd or

Dalteparin 2500 or 5000 units SC qd or

Fondaparinux 2.5 mg SC qd or

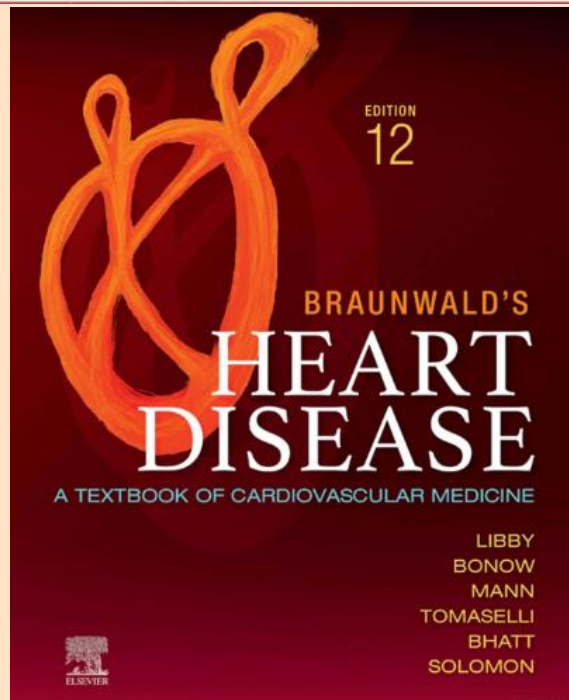
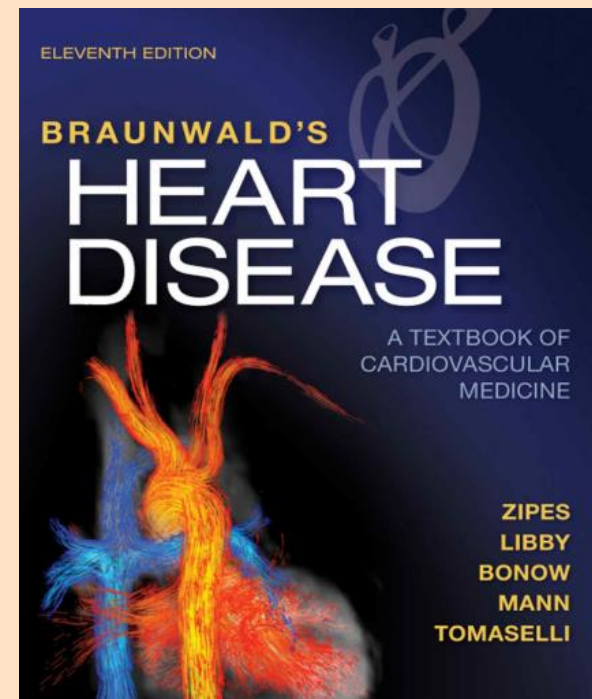
Rivaroxaban 10 mg qd or

Aspirin 81 mg qd or

Dabigatran 220 mg qd or

Apixaban 2.5 mg twice daily or

Intermittent pneumatic compression (with or without pharmacologic prophylaxis)



Major orthopedic surgery

Warfarin (target INR 2.5) or

Enoxaparin 30 mg SC bid or

Enoxaparin 40 mg SC qd or

Dalteparin 2500 or 5000 units SC qd or

Fondaparinux 2.5 mg SC qd or

Rivaroxaban 10 mg qd or

Aspirin 81 mg BID or

Rivaroxaban 10 mg qd for 5 days and then aspirin 81 mg daily thereafter

Dabigatran 220 mg qd or

Apixaban 2.5 mg twice daily

[Bone Joint J.](#) 2017 Nov; 99-B(11): 1420–1430.

PMCID: PMC5

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PMID: [29](#)

## Aspirin and the prevention of venous thromboembolism following total joint arthroplasty

commonly asked questions

[I. Azboy](#), MD, Associate Professor of Orthopaedic Surgery,<sup>1</sup> [R. Barrack](#), MD, Professor of Orthopaedic Surgery,<sup>2</sup>

**Low dose aspirin (81 mg twice a day) was as effective as a higher dose (325 mg twice a day), with the lower dose being associated with fewer gastrointestinal side effects.**

**The recommendation is that low dose aspirin should be used for the prevention of VTE following arthroplasty.**

There are equally many other studies showing the efficacy of low dose aspirin in minimising VTE, and a lower incidence of gastrointestinal bleeding than with the higher doses.<sup>[15,77,126-130](#)</sup> The PEP trial evaluated the efficacy of low dose aspirin (160 mg/day).<sup>[15](#)</sup> The relevant literature includes studies evaluating a wide range of doses of aspirin as VTE prophylaxis with doses of 75 mg,<sup>[13](#)</sup> 81 mg,<sup>[92](#)</sup> 100 mg,<sup>[17,51,98,131](#)</sup> 150 mg,<sup>[41,47,99](#)</sup> 160 mg,<sup>[15,132](#)</sup> 162 mg,<sup>[9,42,43](#)</sup> 250 mg,<sup>[133](#)</sup> 300 mg,<sup>[130](#)</sup> 325 mg,<sup>[95](#)</sup> 600 mg,<sup>[24](#)</sup> 650 mg,<sup>[9,27,42,43](#)</sup> 1200 mg,<sup>[82,84,115,129,130](#)</sup> 1300 mg,<sup>[31,33,35,93,134,135](#)</sup> and 3600 mg.<sup>[129,130](#)</sup> One unresolved issue in relation to the dose is the variation in the sensitivity to aspirin among patients.<sup>[136](#)</sup>

## Suggested dose adjustments of low molecular weight (LMW) heparins in adults with renal insufficiency

|            | VTE treatment  | VTE prophylaxis*  |
|------------|--|---|
| Enoxaparin | <p>CrCl <math>\geq 30</math> mL/min: No adjustment</p> <p>CrCl <math>&lt; 30</math> mL/min: Reduce to 1 mg/kg once daily</p> | <p>CrCl <math>\geq 30</math> mL/min: No adjustment</p> <p>CrCl <math>&lt; 30</math> mL/min: Reduce to 30 mg once daily (medical or surgical patients)</p> |

## Suggested doses of low molecular weight heparins in adult patients with a high body mass index (BMI)

|             | VTE treatment   | VTE prophylaxis   | Product labeling on use in patients with a high BMI  |
|-------------|---|---|--|
| Enoxaparin* | <p>Use standard treatment dosing (ie, 1 mg/kg every 12 hours based on TBW).<sup>9</sup></p> <p>Once-daily dosing regimens of enoxaparin are <b>not</b> recommended.<sup>[1]</sup></p> | <p><b>BMI 30 to 39 kg/m<sup>2</sup>:</b> Use standard prophylaxis dosing (ie, 30 mg every 12 hours or 40 mg once daily).<sup>[2]</sup> Some experts use weight-based dosing (ie, 0.5 mg/kg based on TBW once or twice daily, depending upon level of VTE risk).<sup>Δ[3,4]</sup></p> <p><b>BMI <math>\geq 40</math> kg/m<sup>2</sup>:</b> Empirically increase standard prophylaxis dose by 30% (ie, from 30 mg every 12 hours to 40 mg every 12 hours).<sup>Δ[2]</sup> Some experts use weight-based dosing (ie, 0.5 mg/kg based on TBW once or twice daily, depending upon level of VTE risk).<sup>Δ[3-7]</sup></p> | <p>Safety and efficacy of prophylactic doses in patients with obesity (BMI <math>&gt; 30</math> kg/m<sup>2</sup>) has not been fully determined, and there is no consensus for dose adjustment. Observe carefully for signs and symptoms of VTE.<sup>[10]</sup></p> <p>Marginal increase observed in mean anti-factor Xa activity using TBW and 1.5 mg/kg once-daily dosing in healthy persons with obesity (BMI 30 to 48 kg/m<sup>2</sup>) compared with healthy persons with lower BMI.<sup>[10]</sup></p> |

## LOWER EXTREMITY INJURY REQUIRING IMMOBILIZATION

✓ Often young

✓ Fractures below the knee, tendon ruptures, cartilage injuries and surgeries of the knee and ankle

Risk of VTE



- Degree of immobilization and casting
- Proximity to the knee (surgery closer to the knee is at higher risk)
- Type of surgery (eg, Achilles tendon rupture has a higher risk)

Most patients **do not need thromboprophylaxis** (other than early ambulation when feasible)

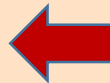


**However**, there should be a **low threshold** for administering pharmacologic prophylaxis **high risk patients** (eg, **previous history of VTE, patient not fully mobile**) or undergoing **surgeries known to be high risk** (eg, **Achilles tendon rupture or tibial plateau fracture**)



If indicated

Duration = **period of immobilization**



- LMW heparin is preferred
- Aspirin is frequently administered

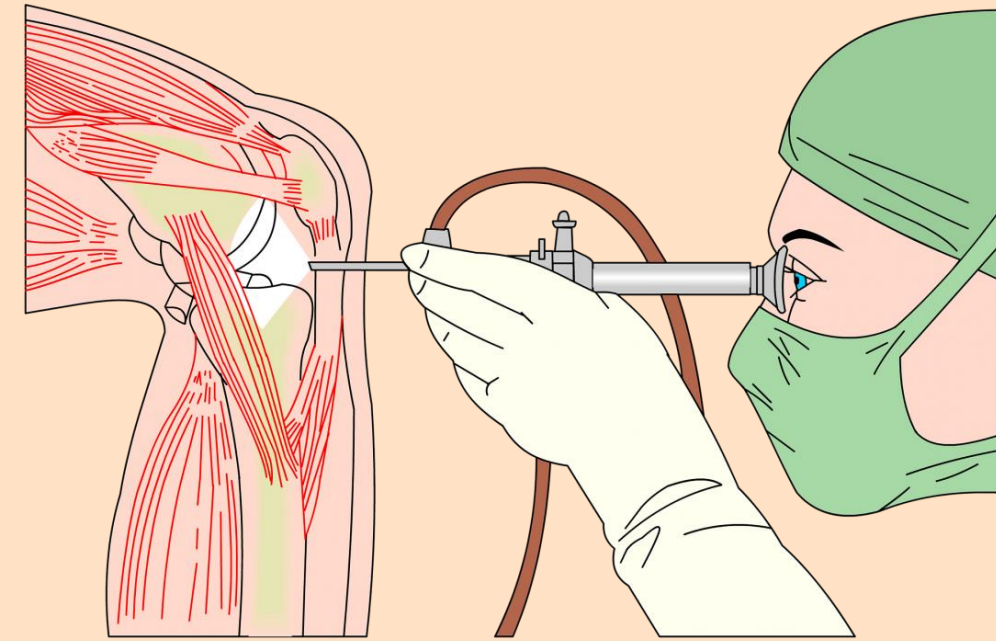


# KNEE ARTHROSCOPY

Many of which are **outpatient based** and performed in **young healthy individuals**

Routine anticoagulant prophylaxis  
is **not advised** in patients undergoing arthroscopy

Best supporting this practice is one randomized trial of 1543 patients (POT-KAST) undergoing arthroscopy, which reported **the rate of VTE was unchanged among those treated with LMW heparin (for eight days) compared with those not receiving prophylactic anticoagulation.**



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### Thromboprophylaxis after Knee Arthroscopy and Lower-Leg Casting

Raymond A. van Adrichem, M.D., Banne Nemeth, M.D., Ale Algra, M.D., Ph.D., Saskia le Cessie, Ph.D.,  
Frits R. Rosendaal, M.D., Ph.D., Inger B. Schipper, M.D., Ph.D., Rob G.H.H. Nelissen, M.D., Ph.D.,  
and Suzanne C. Cannegieter, M.D., Ph.D., for the POT-KAST and POT-CAST Group\*

**THROMBOPROPHYLAXIS AFTER  
ORTHOPEDIC SURGERY**

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**Thrombosis** Canada

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**Thrombose** Canada

## Suggested Thromboprophylaxis in Orthopedic Surgery Patients

| Patient Group            | Prophylaxis Options* |   | Duration   |
|--------------------------|----------------------|---|------------|
| Hip or knee arthroplasty | Rivaroxaban          | 10 mg by mouth (PO) daily                               | 14-35 days |
|                          | Apixaban             | 2.5 mg PO twice daily                                   |            |
|                          | Enoxaparin           | 30 mg subcutaneously (SC) twice daily or 40 mg SC daily |            |
|                          | Dalteparin           | 5,000 U SC daily  |            |
|                          | Tinzaparin           | 4,500 U SC daily or 75 U/kg daily                       |            |
| Hip fracture             | Enoxaparin           | Pre-op: 30 mg SC daily<br>Post-op: 40 mg SC daily       | 14-35 days |
|                          | Dalteparin           | Pre-op: 2,500 U SC daily<br>Post-op: 5,000 U SC daily   |            |
|                          | Tinzaparin           | Pre-op: 3,500 U SC daily<br>Post-op: 4,500 U SC daily   |            |



|   |  |   |
|---|--|---|
| <b>Isolated below-knee fracture</b>                   | None, if outpatient or overnight hospital stay<br>LMWH once daily if inpatient | Until discharge<br>(including rehabilitation) |
| <b>Knee arthroscopy:</b>                              |  | 5-30 days                                     |
| a) low risk   | a) None  |   |
| b) higher risk (major knee reconstruction, prior VTE) | b) LMWH once daily   |   |
| <b>Lower extremity amputation</b>                     | LMWH once daily  | Until discharge<br>(including rehabilitation) |

# Non-Orthopedic Surgeries



## Nonorthopedic surgeries include:

- Surgery of the skin and soft tissues of the trunk or extremities
- Surgery involving the chest, abdomen, or pelvic organs
- Surgery of the head (including brain) and neck



# ASSESS RISK FOR THROMBOSIS

- ✓ Should be assessed prior to surgery
- ✓ Patient is stratified into very low, low, moderate, or high risk



## ➤ Procedure-related →

- The extent and duration of surgery
- Intraoperative positioning
- The type of anesthesia
- Postoperative mobility

### Highest risk

- Abdominal and thoracic cavity surgery
- Prolonged surgery (> 45 minutes or ≥2 hours)
- Emergency rather than elective surgery
- Postoperative immobilization for ≥4 days
- Critically ill patients who are confined to bed (eg, extensive burns, multiple trauma, brain/spine injury)

### Lowest risk

- Minor surgery
- Ambulatory procedures (elective hernia repair, thyroid surgery, minor skin excision, carotid endarterectomy)

## ➤ Patient-related

# Modified Caprini risk assessment model for VTE in general surgical patients

| Risk score   |                                    |  |                                     |
|--|------------------------------------|--|-------------------------------------|
| 1 point  | 2 points                           | 3 points   | 5 points                            |
| Age 41 to 60 years                                       | Age 61 to 74 years                 | Age ≥75 years  | Stroke (<1 month)                   |
| Minor surgery  | Arthroscopic surgery               | History of VTE   | Elective arthroplasty               |
| BMI >25 kg/m <sup>2</sup>                                | Major open surgery (>45 minutes)   | Family history of VTE  | Hip, pelvis, or leg fracture        |
| Swollen legs   | Laparoscopic surgery (>45 minutes) | Factor V Leiden  | Acute spinal cord injury (<1 month) |
| Varicose veins   | Malignancy                         | Prothrombin 20210A   |                                     |
| Pregnancy or postpartum                                  | Confined to bed (>72 hours)        | Lupus anticoagulant  |                                     |
| History of unexplained or recurrent spontaneous abortion | Immobilizing plaster cast          | Anticardiolipin antibodies   |                                     |
| Oral contraceptives or hormone replacement               | Central venous access              | Elevated serum homocysteine  |                                     |
| Sepsis (<1 month)  |                                    | Heparin-induced thrombocytopenia   |                                     |
| Serious lung disease, including pneumonia (<1 month)     |                                    | Other congenital or acquired thrombophilia   |                                     |
| Abnormal pulmonary function                              |                                    |  |                                     |
| Acute myocardial infarction                              |                                    |  |                                     |
| Congestive heart failure (<1 month)                      |                                    |  |                                     |
| History of inflammatory bowel disease                    |                                    |  |                                     |
| Medical patient at bed rest                              |                                    |  |                                     |
| Interpretation   |                                    |  |                                     |
| Surgical risk category*                                  | Score                              | Estimated VTE risk in the absence of pharmacologic or mechanical prophylaxis (percent) |                                     |
| Very low (see text for definition)                       | 0                                  | <0.5   |                                     |
| Low  | 1 to 2                             | 1.5  |                                     |
| Moderate   | 3 to 4                             | 3.0  |                                     |
| High   | ≥5                                 | 6.0  |                                     |

capriniriskscore.org/assessment/

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# Caprini Risk Score

*Know your Risk for Blood Clots & Save Your Life*

Your Total Score:


0

YOUR PERSONAL IDENTIFICATION WILL NOT BE COLLECTED BY THIS SITE. NO RECORD OF YOUR RESPONSES IS PRESERVED.

## Planned surgery

- ☒ None
- ☐ Minor (less than 45 minutes)
- ☐ Major (longer than 45 minutes), including laparoscopic and arthroscopic surgery
- ☐ Elective hip or knee replacement

DEFINITIONS

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GamesAppsKids

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#98 in Medical

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Free

## Caprini Score for Venous Thromboembolism (2005) ☆

Stratifies risk of VTE in surgical patients.

- When to Use ▾
- Pearls/Pitfalls ▾
- Why Use ▾

|              |       |    |
|--------------|-------|----|
| Age<br>Years | ≤40   | 0  |
|              | 41-60 | +1 |
|              | 61-74 | +2 |
|              | ≥75   | +3 |

|     |      |        |
|-----|------|--------|
| Sex | Male | Female |
|-----|------|--------|

|   |       |    |
|---|-------|----|
| Type of surgery<br>Minor surgery does not refer to <a href="#">type of surgery</a> but rather length of anesthesia <45 minutes. | None  | 0  |
|   | Minor | +1 |



**General/abdominal pelvic (low to high Caprini score) → 0.5 – 1.6 %**

**Higher among those undergoing surgery for malignancy: 3.7%**

**(Broad range of surgeries from laparoscopic appendectomy to open pelvic surgery for cancer)**

**Noncardiac vascular surgery (low to high Caprini score) → Up to 10 %**

**Peripheral artery surgery (1.8 to 9 percent), venous ablation procedures (<1 percent), and lower extremity amputation (2 to 15 percent, higher for above knee compared with below-knee).**

**Plastic and reconstructive (low to high Caprini score) → 0.5 to 1.8 %**

**However, the estimated baseline VTE risk ranges from low (eg, outpatient cosmetic procedures) to high (eg, reconstructive surgeries).**

**Cardiac surgery (moderate to high Caprini score)**

**Noncardiac thoracic surgery (moderate to high Caprini score) → Up to 7.4 %**

**Highest in pneumonectomy and esophagectomy**

**Neurosurgery (moderate to high Caprini score) → 16 -29 %**

**Highest in those undergoing craniotomy** and limited spine surgeries for benign conditions and cervical spine surgery are associated with less risk

**Major trauma (moderate to high Caprini score) → Up to 58 percent**

**Seriously ill patients with multiple other injuries (eg, traumatic brain and spinal injury)**

# Assess the risk of bleeding

## Indication of pharmacologic VTE prophylaxis??

### A full history and examination:

- Fatal bleeding
- Symptomatic bleeding in a critical area or organ
- Bleeding causing a fall in hemoglobin of  $\geq 2$  g/dl or  
leading to transfusion of two or more units of whole blood or red cells,
- Bleeding requiring reoperation

### Individual risk factors for bleeding :

- Active bleeding as an indication for surgery (eg, gastrointestinal bleeding, trauma, ruptured aneurysm),
- Patients with intracranial hemorrhage,
- Patients who develop a moderate or severe coagulopathy (eg, patients with liver disease),
- Patients with an underlying bleeding disorder or thrombocytopenia (eg, platelet count  $< 50,000/\text{microL}$  plus additional risk factors for bleeding).

**\*\*\*** Epistaxis and menstrual bleeding are not contraindications to pharmacologic thromboprophylaxis

# Bleeding Risk Categories

|                       |  |       |
|-----------------------|--|-------|
| Low bleeding risk     | <ul style="list-style-type: none"><li>• General,</li><li>• Abdominal-pelvic,</li><li>• Bariatric,</li><li>• Vascular,</li><li>• Uncomplicated thoracic</li></ul> | < 2 % |
| High bleeding risk ** | <ul style="list-style-type: none"><li>• Cardiac</li><li>• Major trauma, especially involving the brain and spine</li></ul>                                       | > 3 % |

**\*\* Patients in this category also include:**

**Those in whom the consequences of bleeding are considered potentially devastating;**

**Example:** Patients undergoing neurosurgical procedures where thromboprophylaxis may result in spinal or intracranial hemorrhage, and patients undergoing plastic/reconstructive surgery where thromboprophylaxis may result in failed reconstruction.



# **SELECTING THROMBOPROPHYLAXIS**

## Very low thrombosis risk: Early ambulation (Risk of VTE is < 0.5 %)

Healthy young patients undergoing minor outpatient procedure:

**LASIK surgery, cataract removal, skin biopsy, benign breast biopsy, diagnostic endoscopy, nasal polyp removal, dilatation and curettage, colposcopy, fluid removal from joint effusion**



**Recommendation: Early and frequent ambulation**

**\*\*\* Mechanical methods** may be employed in the unusual circumstance where unexpected issues occur during the procedure (eg, bleeding, more extensive surgery, which intrinsically change the risk category) or the patient has a complication and requires admission

## Low VTE risk: Mechanical methods (Risk of VTE is : 1.5 %)

- General or abdominal/pelvic surgery with a Caprini score of 1 to 2
- Patients undergoing plastic/reconstructive surgery with a Caprini score of 3 to 4



### Examples

- Minor elective abdominal-pelvic surgery (eg, appendectomy, laparoscopic cholecystectomy)
- Minor thoracic surgery (eg, diagnostic thoracoscopy, video-assisted biopsy)
- Minor vascular procedures (eg, vein ablation)
- Elective spine surgery (eg, spinal fusion)



### Recommendation:

**Mechanical methods of VTE prophylaxis rather than pharmacologic prophylaxis or no prophylaxis**  
**\*\*Switching to pharmacologic methods may be appropriate in this with individual risk factors for VTE (eg, history of recurrent VTE or cancer)**

## Moderate or high VTE risk (Risk of VTE is in moderate risk: 3% and high risk > 6% )

- General or abdominal/pelvic surgery with a Caprini score of 3 to 4
- Patients undergoing plastic/reconstructive surgery with a Caprini score of 5 to 6



Examples

### Moderate risk:

- Major gynecologic and urologic surgery
- Major cardiac or thoracic surgery
- Bariatric surgery
- Neurosurgical procedures
- Nonextensive trauma not involving the brain or spine

- General or abdominal/pelvic surgery with a Caprini score > 5
- Plastic/reconstructive surgery with a Caprini score of 7 to 8



Examples

### High risk:

- Extensive thoracic or abdominal-pelvic surgery (eg, distal colorectal surgery)
- Major trauma (particularly if involving the brain or spinal cord)
- Acute spinal cord injury
- Cancer surgery



## With low bleeding risk

**Recommendation:** Pharmacologic prophylaxis, rather than mechanical methods

\*\*\*For selected patients in whom the risk of VTE is considered to be particularly high, addition of mechanical to pharmacologic methods (eg, multiple risk factors, surgery for cancer) is suggested.

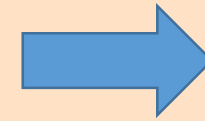
1. LMWH is generally the preferred
2. Renal insufficiency (creatinine clearance <20 to 30 mL/min) or for those in whom cost is an issue, UFH is appropriate
3. UFH or LMW heparin is contraindicated (eg, heparin-induced thrombocytopenia [HIT]) or unavailable, fondaparinux or mechanical methods are preferred

**Oral agents** (warfarin, aspirin, direct oral anticoagulants) ???

**They are unstudied and are not typically administered in nonorthopedic surgical patients**

## With high bleeding risk

- **Contraindications to pharmacologic prophylaxis** (eg, active bleeding, intracranial hemorrhage)
- **High risk of bleeding**
- **Consequences of bleeding are thought to be potentially catastrophic** (eg, neurosurgical procedures)



**Mechanical  
methods**

**\*\*Switching to or adding a pharmacologic agent**, such as LMW heparin, should be done as soon as the bleeding risk becomes acceptably low (eg, 48 to 72 hours following neurosurgery)

# Bariatric surgery

High risk for VTE given the risk factors:

Obesity, obstructive sleep apnea/hypoventilation syndrome

The current American Society of Bariatric and Metabolic Surgeons (ASMBS) guidelines



Mechanical prophylaxis  
and  
Ambulate early

\*\*\*Additionally, the surgeon may routinely utilize chemical prophylaxis consisting of either low-molecular-weight heparin or unfractionated heparin

- Hypercoagulable disorders
- History of previous VTE
- BMI > 60 kg/m<sup>2</sup>



May be considered for  
extended administration  
of VTE prophylaxis.

## Patients with brain tumor surgery

Patients with primary or metastatic brain tumors have a latent hypercoagulable state particularly in the postoperative period

| Risk factors for VTE in brain tumor patients |  |
|--|--|
| age ≥60 years,                               |  |
| Obesity                                      |  |
| Glioblastoma histology,                      |  |
| Large tumor size                             |  |
| Subtotal resection                           |  |
| Chemotherapy                                 |  |
| Neurosurgery within the past two months      |  |
| Presence of leg paresis                      |  |
| Use of steroids                              |  |
| A or AB blood type                           |  |

### Recommendation:

**Pneumatic compression stockings  
+  
LMWH or subcutaneous UFH  
(started preoperatively and resumed 24 to  
48 hours after surgery)**

These measures are generally continued until the patient resumes ambulation

## Timing of initiation

Low bleeding risk



- Mechanical methods just before surgery
- Pharmacologic agents within 2 to 12 hours preoperatively

**\*\*\*Exception: Fondaparinux, which is typically started six to eight hours after skin closure**

High bleeding risk  
(potential catastrophic  
effect of bleeding)



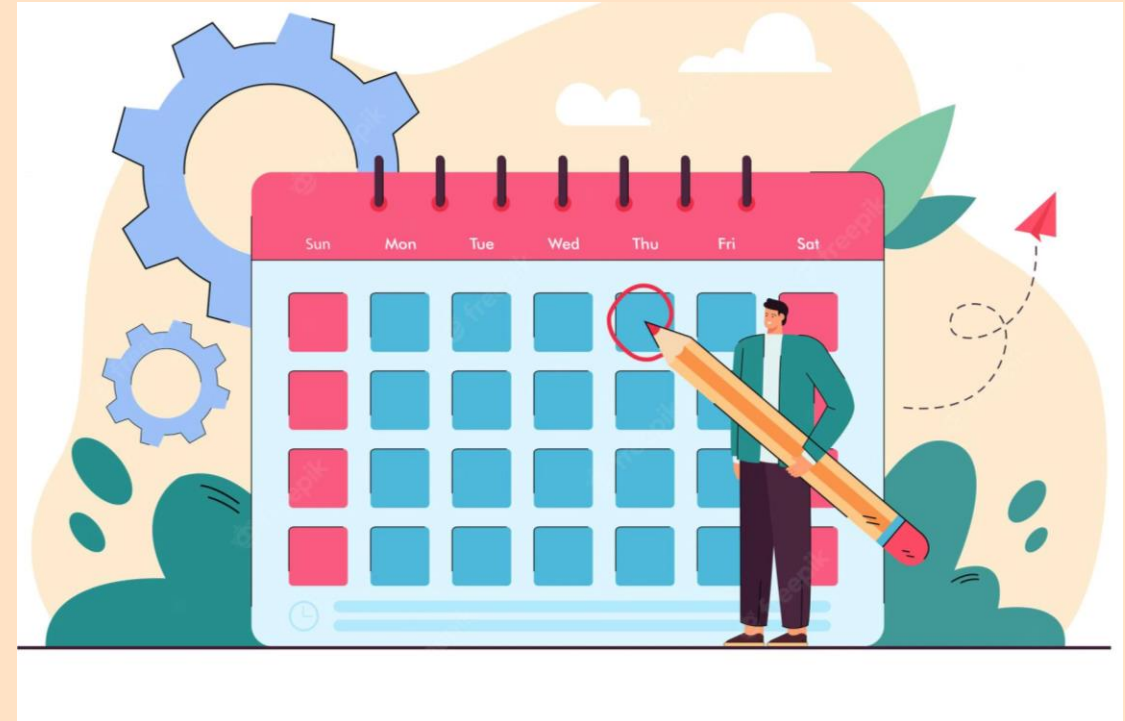
- Mechanical methods just before surgery
- Pharmacologic agents started or added postoperatively, as soon as hemostasis is achieved and it is considered safe (eg, 2 to 72 hours)

# Duration

Once patients become fully ambulatory, pharmacologic and mechanical methods of prophylaxis are generally stopped (typically up to 10 days)

## Extended pharmacologic VTE prophylaxis??

Not routinely recommended except for those who undergo major abdominal and/or pelvic surgery for cancer for a period of three to four week with LMWH



## Risk of spinal or epidural hematoma in patients receiving neuraxial anesthesia or undergoing spinal puncture??

### Increased risk in:

- Indwelling epidural catheters
- Drugs that impair hemostasis (eg, anti-platelet agents)
- Traumatic or repeated epidural or spinal puncture
- History of spinal surgery

**American Society of Regional Anesthesia (ASRA) suggest:**

Not administering preoperative pharmacologic agents

and

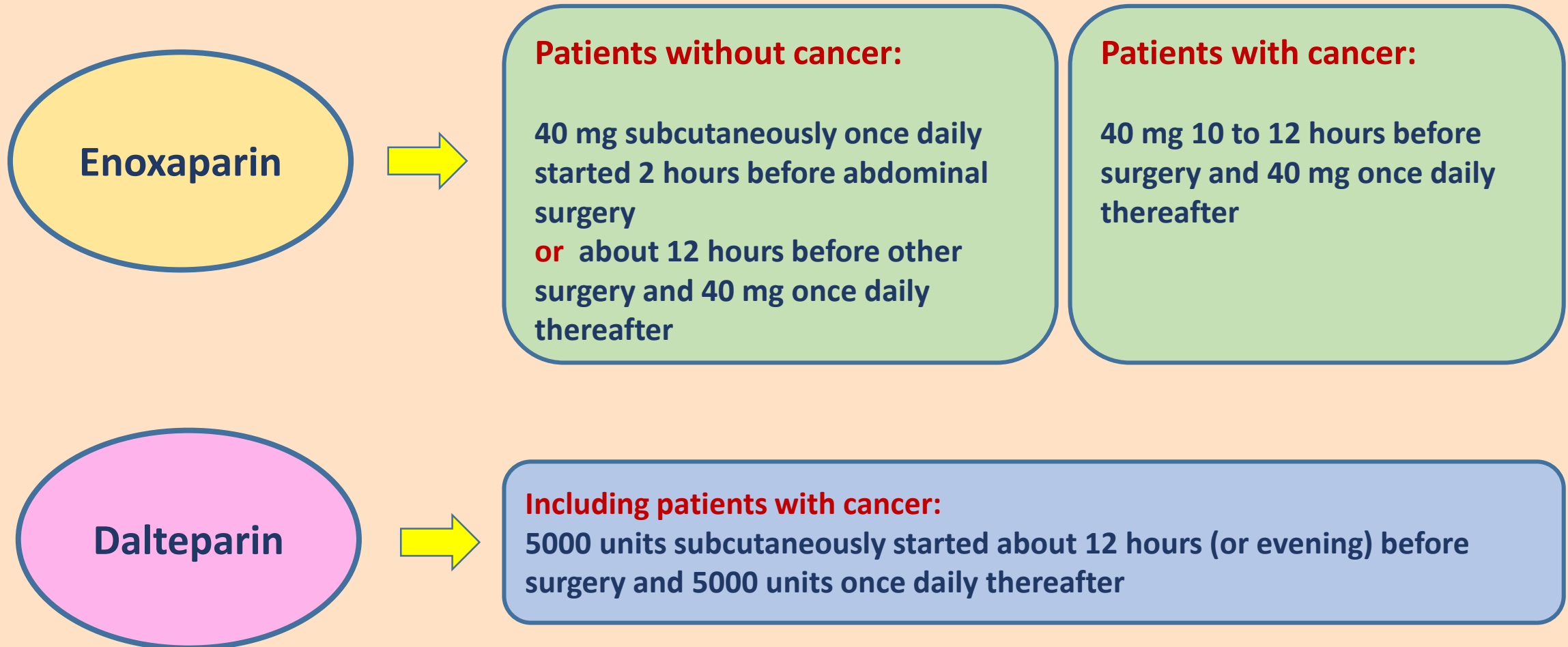
waiting at least 6 to 8 hours after catheter removal before administering prophylactic anticoagulant.



# **Pharmacologic dosing**

# Low molecular weight heparin

None of LMWH agents have superiority over the others to prevent VTE



- In patients with a BMI  $\geq 40$  kg/m<sup>2</sup>, some experts empirically increase the standard LMWH dose by approximately 30 percent.
- LMWH better be avoided in patients with severe renal insufficiency (eg, creatinine clearance 20 to 30 mL/min and end stage renal failure requiring dialysis).

For those who develop severe renal insufficiency during hospitalization, it is prudent that the LMW heparin agent be discontinued and replaced with UFH.

### Suggested dose adjustments of low molecular weight (LMW) heparins in adults with renal insufficiency

|            | VTE treatment   | VTE prophylaxis*  |
|------------|---|---|
| Enoxaparin | CrCl $\geq 30$ mL/min: No adjustment<br>CrCl $< 30$ mL/min: Reduce to 1 mg/kg once daily  | CrCl $\geq 30$ mL/min: No adjustment<br>CrCl $< 30$ mL/min: Reduce to 30 mg once daily (medical or surgical patients) |
| Dalteparin | CrCl $\geq 30$ mL/min: No adjustment<br>CrCl $< 30$ mL/min: Use an anticoagulant with less dependence on renal clearance <sup>¶</sup> | CrCl $\geq 30$ mL/min: No adjustment  |

## Suggested doses of low molecular weight heparins in adult patients with a high body mass index (BMI)

|             | VTE treatment   | VTE prophylaxis   |
|-------------|---|---|
| Enoxaparin* | <p>Use standard treatment dosing (ie, 1 mg/kg every 12 hours based on TBW). ¶</p> <p>Once-daily dosing regimens of enoxaparin are <b>not</b> recommended. [1]</p> | <p><b>BMI 30 to 39 kg/m<sup>2</sup>:</b> Use standard prophylaxis dosing (ie, 30 mg every 12 hours or 40 mg once daily). [2] Some experts use weight-based dosing (ie, 0.5 mg/kg based on TBW once or twice daily, depending upon level of VTE risk). Δ[3,4]</p> <p><b>BMI ≥40 kg/m<sup>2</sup>:</b> Empirically increase standard prophylaxis dose by 30% (ie, from 30 mg every 12 hours to 40 mg every 12 hours). ◇[2] Some experts use weight-based dosing (ie, 0.5 mg/kg based on TBW once or twice daily, depending upon level of VTE risk). Δ[3-7]</p> <p><b>High VTE-risk bariatric surgery with BMI ≤50 kg/m<sup>2</sup>:</b> 40 mg every 12 hours. §[8,9]</p> <p><b>High VTE-risk bariatric surgery with BMI &gt;50 kg/m<sup>2</sup>:</b> 60 mg every 12 hours. §[9]</p> |

## Low-dose unfractionated heparin

Alternative to LMW heparin, when, for example, cost or renal insufficiency (creatinine clearance <20 to 30 mL/min) is an issue.

**5000 units every 12 hours starting  $\geq 2$  hours before surgery**

The frequency may be increased to **3 times daily** in high risk patients for VTE like cancer

**Obese patients??**

Empirically treat with UFH 5000 to 7500 units twice daily (or 3 times per day)

**\*\*\*The dose of UFH does not need to be adjusted for patients with renal insufficiency**

The platelet count should be monitored regularly (eg, day 5, 7, and 9) in all patients receiving LMWH or UFH to detect the development of heparin-induced thrombocytopenia.



# Fondaparinux

Alternative to LMW heparin and UFH in patients with contraindications to heparin (eg, HIT)

Fondaparinux is typically given as 2.5 mg once daily, starting at least 6 to 8 hours postoperatively (after skin closure)

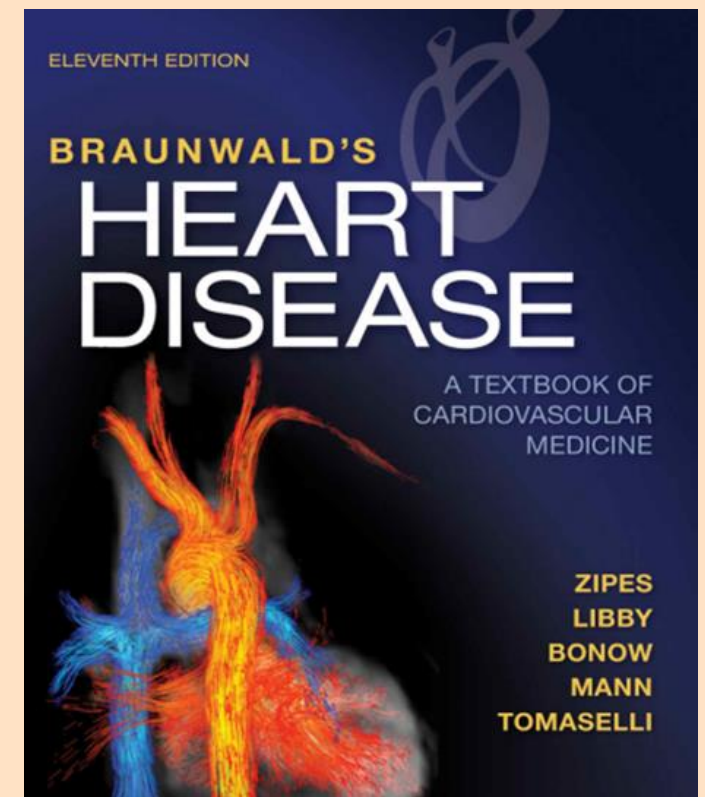
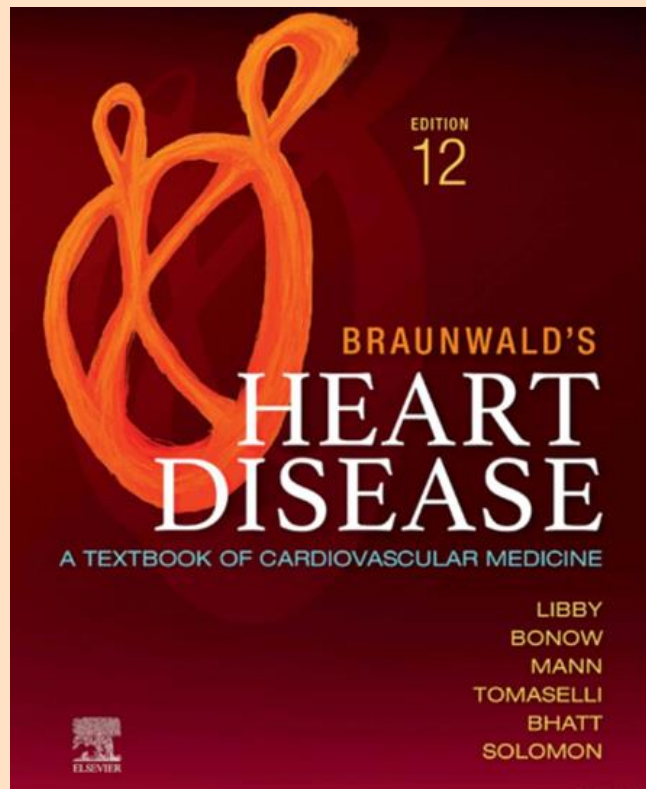


## METHODS NOT RECOMMENDED

- Screening with ultrasonography for DVT
- **Prophylactic vena cava filters:** should generally be avoided as prophylaxis against postoperative VTE

General surgery

Unfractionated heparin 5000 units SC bid or tid or  
Enoxaparin 40 mg SC qd or  
Dalteparin 2500 or 5000 units SC qd



General surgery

Unfractionated heparin 5000 units SC bid or tid or  
Enoxaparin 40 mg SC qd or  
Dalteparin 2500 or 5000 units SC qd

**THROMBOPROPHYLAXIS AFTER NON-  
ORTHOPEDIC SURGERY**



**Thrombosis** Canada

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**Thrombose** Canada

**Table: Suggested Thromboprophylaxis in Non-Orthopedic Surgery Patients**

| Patient Group   | Prophylaxis options*  | Duration                     |
|---|---|------------------------------|
| General and abdominal-pelvic surgery at very low risk for VTE (< 0.5%, Caprini score: 0)  | No specific pharmacologic or mechanical prophylaxis other than early ambulation | n/a                          |
| General and abdominal-pelvic surgery at very low risk for VTE (1.5%, Caprini score: 1-2)  | IPC/ECS (preferably IPC)  | n/a                          |
| General and abdominal-pelvic surgery at moderate risk for VTE (3%, Caprini score: 3-4) <u>and</u> not at high risk for bleeding | LMWH or UFH<br>IPC/ECS (preferably IPC)   | 7-10 days or until discharge |

|   |  |                              |
|---|--|------------------------------|
| <b>General and abdominal-pelvic surgery at moderate risk for VTE (3%, Caprini score: 3-4) <u>and</u> high risk for bleeding</b>                     | IPC/ECS (preferably IPC)   | 7-10 days or until discharge |
| <b>General and abdominal-pelvic surgery at high risk for VTE (6%, Caprini score: &gt; 4) <u>and</u> not at high risk for bleeding</b>               | LMWH or UFH<br>IPC/ECS (preferably IPC) should be added to pharmacologic prophylaxis | 7-10 days or until discharge |
| <b>General and abdominal-pelvic surgery at high risk for VTE (6%, Caprini score: &gt;4) <u>and</u> high risk for bleeding</b>                       | IPC/ECS (preferably IPC)<br>Initiate LMWH or UFH when bleeding risk diminishes       | 7-10 days or until discharge |
| <b>General and abdominal-pelvic <u>cancer</u> surgery at high risk for VTE (6%, Caprini score: &gt; 4) <u>and</u> not at high risk for bleeding</b> | LMWH   | 30 days                      |

| Patient Group   | Prophylaxis options*   | Duration                     |
|---|--|------------------------------|
| Cardiac surgery   | IPC/ECS (preferably IPC)<br><br>Add LMWH or UFH if hospitalization is prolonged.         | 7-10 days or until discharge |
| Thoracic surgery at moderate risk for VTE (3%, Caprini score: 3-4) <u>and</u> not at high risk for bleeding | LMWH or UFH or IPC/ES (preferably IPC)   | 7-10 days or until discharge |
| Thoracic surgery at high risk for VTE (6%, Caprini score: > 4) <u>and</u> not at high risk for bleeding     | LMWH or UFH<br><br>IPC/ECS (preferably IPC) should be added to pharmacologic prophylaxis | 7-10 days or until discharge |

|  |  |                              |
|--|--|------------------------------|
| <b>Thoracic surgery</b> at moderate or high risk for VTE <u>and</u> high risk for bleeding | IPC/ECS (preferably IPC)<br><br>Initiate LMWH or UFH when bleeding risk diminishes | 7-10 days or until discharge |
| <b>Craniotomy</b>  | IPC/ECS (preferably IPC)   | 7-10 days or until discharge |
| <b>Craniotomy</b> at very high risk for VTE (e.g. cancer resection)                        | IPC/ECS (preferably IPC)<br><br>Initiate LMWH or UFH when bleeding risk diminishes | 7-10 days or until discharge |
| <b>Spinal surgery</b>  | IPC/ECS (preferably IPC)   | 7-10 days or until discharge |

|  |   |                              |
|--|---|------------------------------|
| <b>Spinal surgery</b> at very high risk for VTE<br>(e.g. cancer resection)                           | IPC/ECS (preferably IPC)<br><br>Initiate LMWH or UFH when bleeding risk diminishes  | 7-10 days or until discharge |
| <b>Trauma surgery</b>  | LMWH or UFH or IPC/ECS (preferably IPC)   | 7-10 days or until discharge |
| <b>Trauma surgery</b> at very high risk for VTE<br>(e.g. spinal cord injury, traumatic brain injury) | LMWH or UFH<br><br>IPC/ECS (preferably IPC) should be added to pharmacologic prophylaxis (if not contraindicated by lower extremity trauma) | 7-10 days or until discharge |
| <b>Trauma surgery</b> at high risk for bleeding  | IPC/ECS (preferably IPC)<br><br>Initiate LMWH or UFH when bleeding risk diminishes  | 7-10 days or until discharge |



**Thank You for Your Attention**