



Bacteria in Asthma Pathogenesis

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Abstract

The **airways** are under continuous assault from aerosolized **bacteria** and **oral flora**. The bacteria present in the **airways** and **gastrointestinal tract** of **neonates** promote immune maturation and **protect against** asthma pathogenesis.

Later bacterial infections and perturbations to the microbiome can contribute to asthma **pathogenesis, persistence, and severity**.

INTRODUCTION

Many researchers initially believed that **bacteria do not contribute** to asthma because **antibiotics did not** seem to improve asthma symptoms.¹

Mycoplasma pneumoniae, Chlamydia pneumoniae, Chlamydia trachomatis, Staphylococcus aureus, and Haemophilus influenza have all been identified as contributors to **asthma**.

These findings led to a reexamination of the **role of antibiotics** in asthma with interesting results. More recently, advancements in profiling the respiratory microbiome continue to provide insights into the **role commensal** and **pathogenic** bacteria play in asthma pathogenesis

Asthma

Asthma is a **common chronic** condition affecting both children and adults, and is characterized by chronic airway **inflammation** leading to **bronchial hyper-responsiveness** and **mucous hypersecretion**.

Asthma can be triggered by a variety of stimuli, leading to **recurrent** and **reversible** episodes of **wheezing, shortness of breath, chest tightness, and coughing** ^[1]. Asthma prevalence has increased dramatically both in the United States (US) and worldwide. Recent statistics from the National Health Interview Surveys (NHIS) and the US Centers for Disease Control and Prevention (CDC) estimated that **26.5 million people** in the US, including **6.1 million children**, have asthma ^{.2}

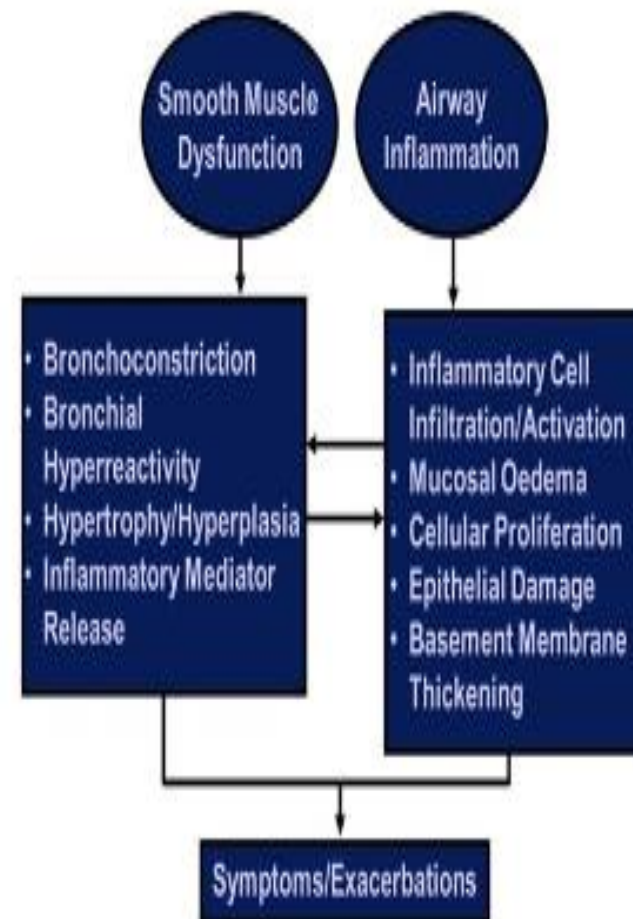
Pathophysiology of Asthma?

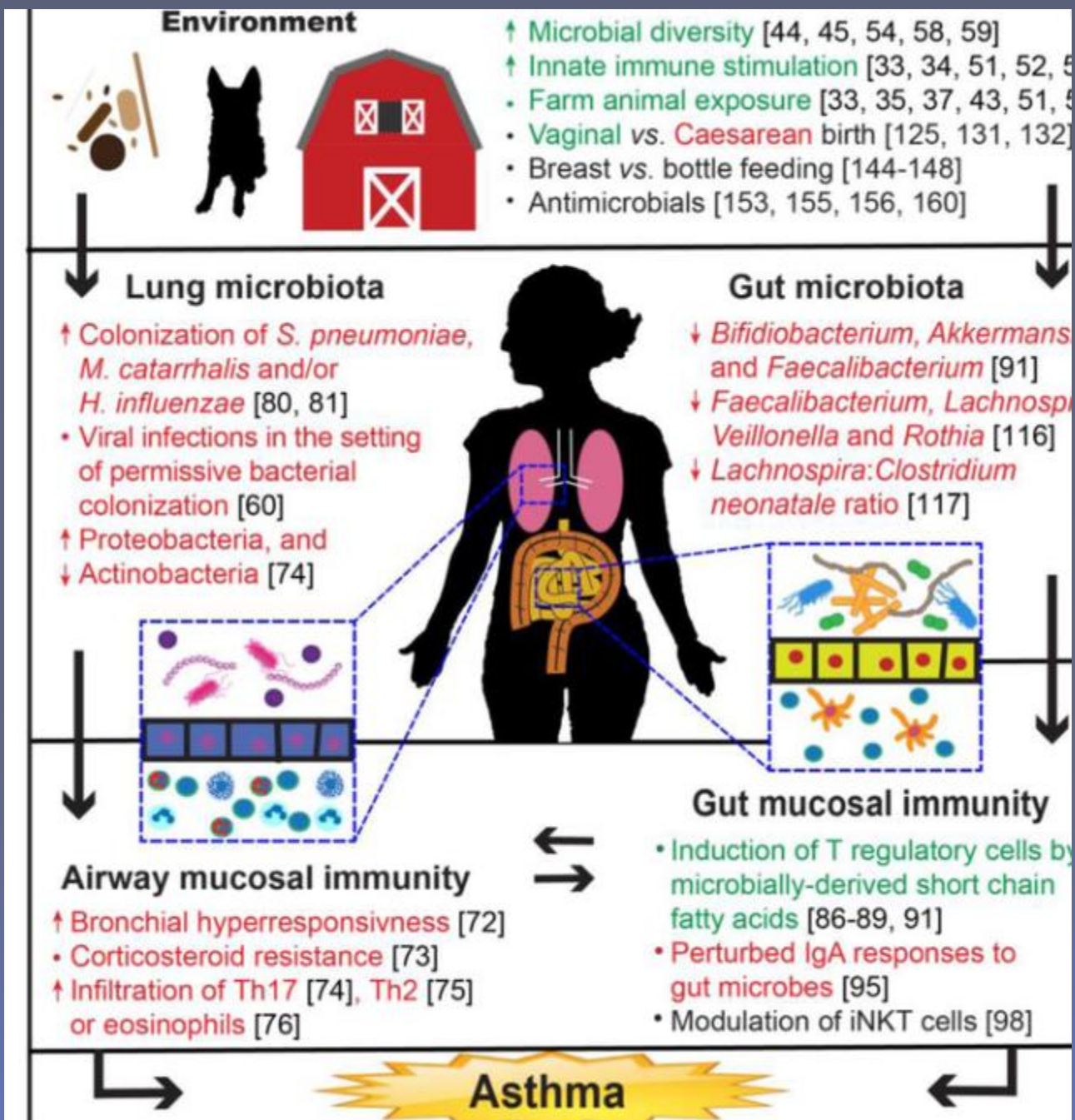
Increase **mucus production** - from goblet hyperplasia, hypertrophy of mucus glands.

Airway thickening - fibrosis of bronchial wall.

Bronchoconstriction - smooth muscle hypertrophy.

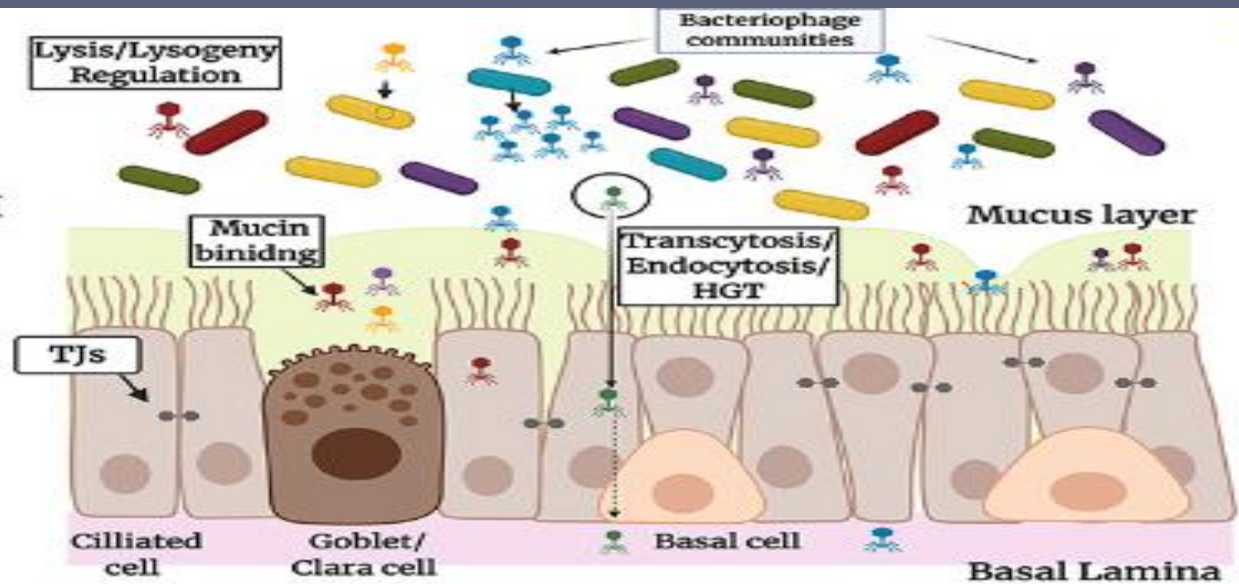
Inflammation - mast cell degranulation, Eosinophil infiltration, inc. permeability, oedema.





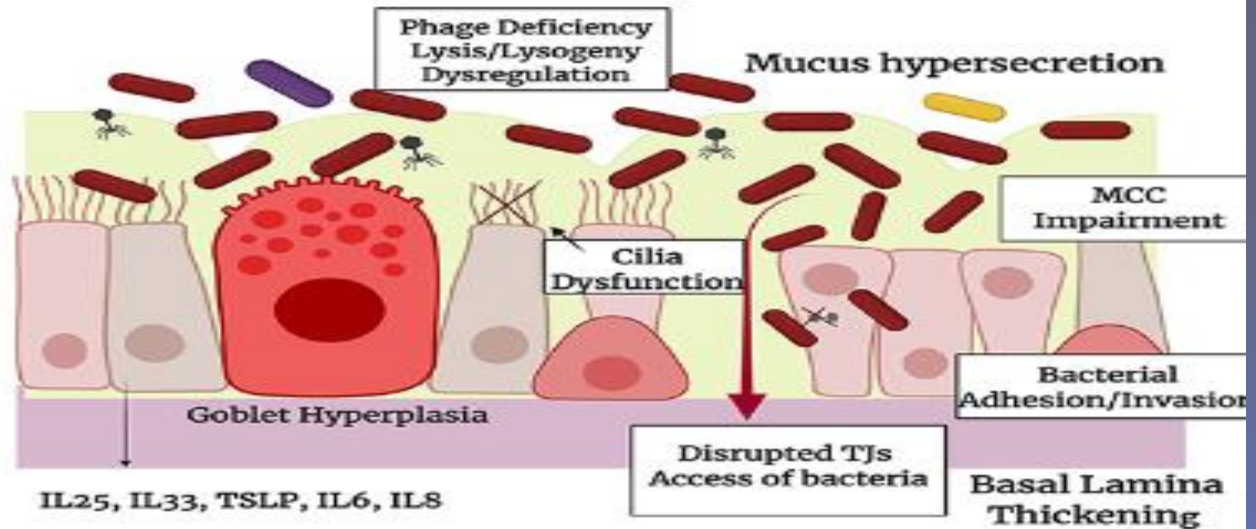
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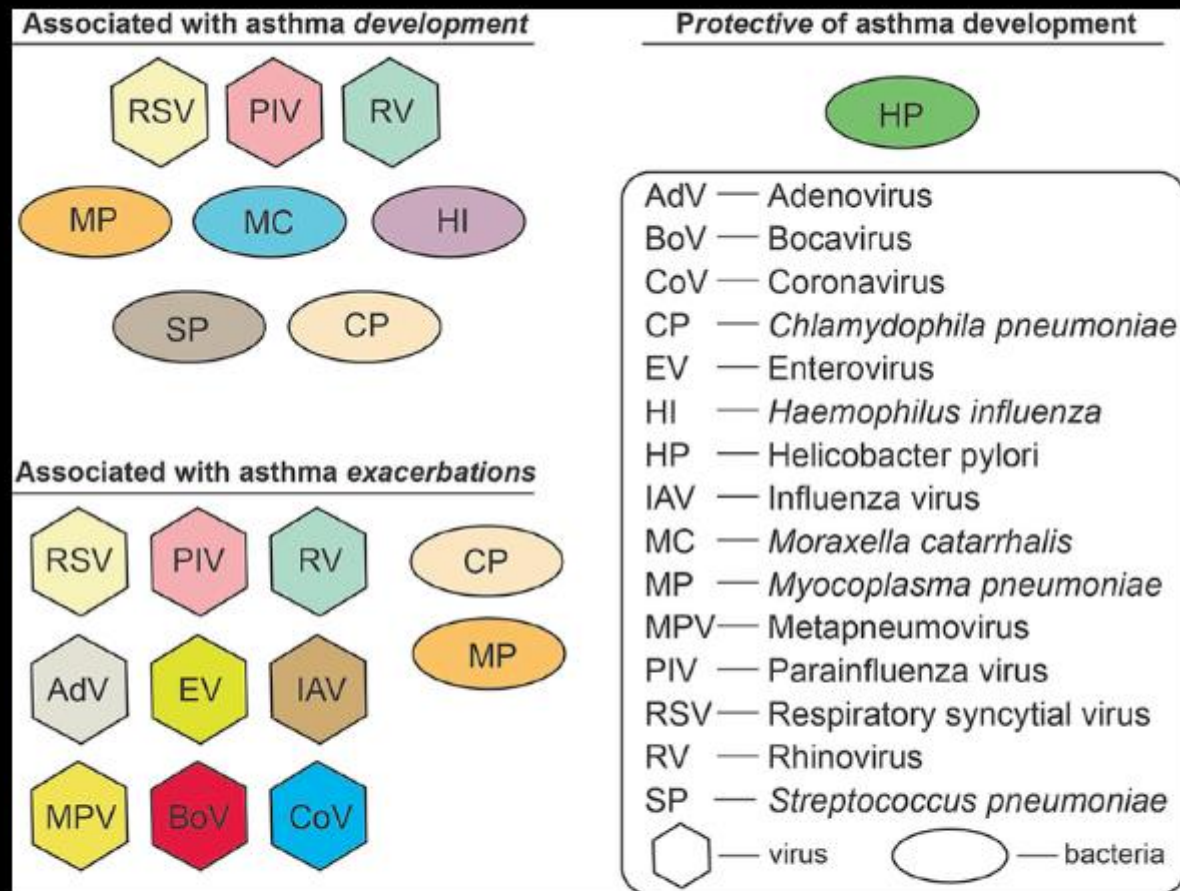
HEALTHY EPITHELIUM



B

ASTHMATIC EPITHELIUM





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Figure 1.. Pathogens associated with asthma development and exacerbation. Early life infections play a role in the development of asthma and disease exacerbation. This figure lists

Atypical bacterial infection and development of asthma


Infections with **atypical bacteria** also appear to play a role in the induction and exacerbation of asthma in both children and adults. Several studies suggest that atypical respiratory pathogens such as **Chlamydophila pneumoniae** (CP) and **Mycoplasma pneumoniae** (MP) and fungi like **Aspergillosis** may contribute to the pathogenesis of asthma[55–59].

Chronic CP infections are **more frequent** in asthmatic patients and have been associated with **poor asthma control**[60, 61].

Role of Respiratory Microbes in Asthma.

The **upper airway**, especially the **oropharynx** and **sinuses**, has long been recognized to harbor communities of microbes that influence human health, have revolutionized our understanding of microbial communities that reside within the lower airway.

Further microbial community specialization to regions within the lung are also likely: lung explants and post-mortem analyses of patients with **cystic fibrosis** and **chronic obstructive pulmonary** disease have demonstrated that unique communities can be discerned between different segments of the lung [56, 57]. The effect of these biogeographical variations in microbial community composition within the airway is **not fully understood** but have practical and function implications that are important to understanding their role in asthma.




Acquisition of upper airway microbes commences at birth, where early seeding of the respiratory tract (e.g. naso- and oropharynx) occurs during delivery. The types of microbes that initiate early colonization depend on the mode of delivery, with children born vaginally harboring a different consortium of microbes than babies born by Caesarian section ^[58]. After birth, respiratory microbial community assembly continues to occur over the first two years of life, with stable nasopharyngeal bacterial community structures associated with *Dolosigranulum* and *Moraxella species* ^[59]. Additionally, daycare exposure, viral infections and antibiotic treatment can modulate the composition of the nasopharyngeal microbiota ^[60].

Obtaining samples from the lower airway requires either **invasive bronchoscopy** or **induced sputum**, which requires additional analysis and processing to account for contamination by the **upper airway microbiota**.

The constituents of the lung microbiota are thought to be delivered from the oropharynx through micro-aspiration events and/or mucosal dispersion from contiguous tissues [62].

Microbial density within the lungs is low, with estimates of the densities of microbes within the lower airway being approximately ^{10³-10⁴}

These findings have led to the notion that the bacterial community ecology of the lungs in healthy individuals is determined by the equilibrium established by the immigration of microbes from the upper airway and their elimination, rather than microbial persistence and proliferation in the lower respiratory tract ^[64].



Changes in the **airway microbiota** may precede the **development of asthma in early childhood** [60, 80, 81]. Early colonization with **Streptococcus pneumoniae**, **M. catarrhalis** and/or **H. influenzae** at one month **predicts later wheezing, hospitalization and ultimately**, the diagnosis of asthma at five years of age [80]. Enrichment of these same taxa within the upper airway microbiota also appears to occur during acute wheezing episodes in children and is a risk factor for **wheezing episodes**, independent from viral infections [81].

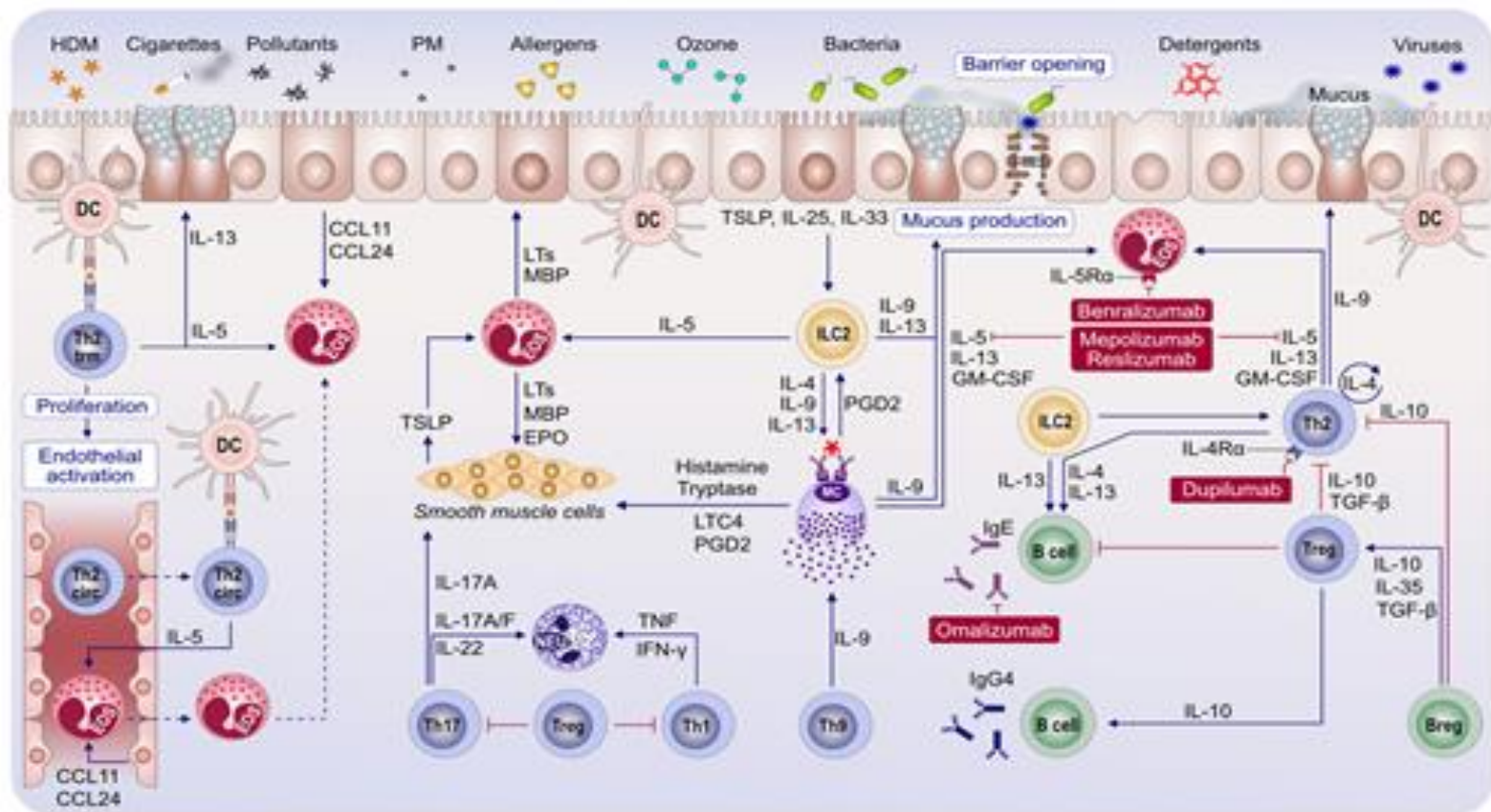
Bacterial colonization also modifies the **risk** and **severity** of viral infections--airway microbiota dominated by **Moraxella** **predisposes** to lower respiratory tract infections and increases the risk of **fever** when in the presence of **respiratory syncytial virus** (RSV) [60].

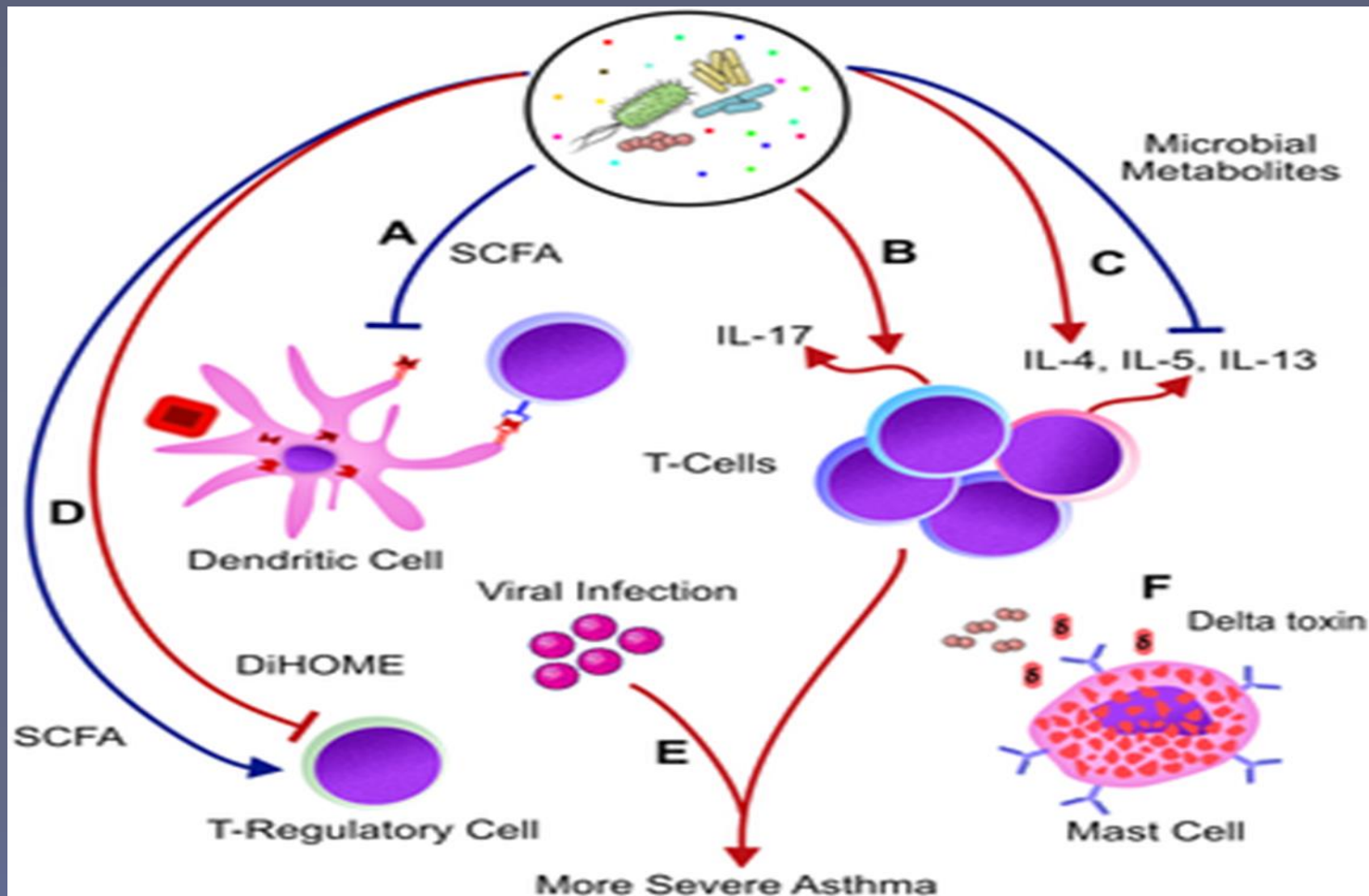
microbes and **viruses** contribute to the **risk of later wheeze**.

The Gut Microbiota and Asthma

While the potential for airway microbes to modulate asthma is readily appreciated due to the close proximity of respiratory **microbial communities** and the sites of **allergic inflammation**, **gut microbes**, despite their anatomical separation, are now appreciated to play important roles in **asthma**.

This **crosstalk** between the gut and the lungs has been termed the **gut-lung axis**, which emphasizes the interconnection between gut and lung function [83, 84]. Although a comprehensive understanding of the mechanisms underlying this axis has not yet been achieved, the **gut microbiota** is thought to play an important role **in altering lung function** and **several contributing pathways** have been identified.





Short-chain fatty acids (SCFAs) are the main metabolites produced by the microbiota in the large intestine through the anaerobic fermentation

Treg cells

T regulatory cells (Tregs) play a crucial role in **immune homeostasis**, particularly in **allergy**. In mice, blockade of peripheral Treg induction results in **Th2-type inflammation** at mucosal sites in model systems ^[85].

In humans, Treg responses directly mediate **tolerance to allergens** ^[90]. The **gut microbiota** and **their metabolites** have been implicated in allergy, in part, due to their ability to modulate the differentiation of **Treg cells** ^[91].

Tregs also modulate production of the major **mucosal** antibody, **IgA**, through the production of **TGfβ**. In healthy mice, Tregs direct IgA secretion at mucosal surfaces to exclude microbial ligands, which lowers systemic inflammation due to **decreased overall CD4+ T cell activation** ^[92].

iNKT cells

Induced NKT (iNKT) cells fill an important niche, bridging both **innate** and **adaptive immune functions**. They are capable of massive **cytokine release** that, depending on the context, can be either Th1 or Th2 predominant, and **iNKT dysfunction** is implicated in multiple **inflammatory disorders** [96, 97]. In animals lacking a gut microbiota (germ-free mice), iNKT cells accumulate in the intestines and lungs and confer **increased susceptibility to inflammation** at these sites compared animals harboring a normal intestinal microbiota [98].

While the role of iNKT cells in the **pathogenesis of asthma** remains somewhat unclear in humans [99, 100],

Th17 cells

The **Th17 axis** is important for maintaining **barrier function** and **clearing pathogens** at mucosal surfaces, while on the other hand its **dysregulation** has been implicated in a range of **inflammatory** disorders [101]. In asthma, Th17 activation reciprocally **regulates Th2 inflammation** in mice and humans [102] and is associated with a separate endotype of asthma characterized by **neutrophilic inflammation** and **decreased responsiveness to steroids**. Hence, it is a potential therapeutic target in **severe asthma** [103, 104], although the only clinical trial completed to date targeting the Th17 axis showed no treatment effect [105].

The **gut microbiota** plays a key role in the **early development** and conditioning of the **Th17 axis**. Much of the data available linking the influence of the microbiota on Th17 responses comes from animal studies. In mice, a single species of Firmicute, Segmented **Filamentous Bacteria**, is sufficient to induce **Th17 development** in the **gut** [106], which in turn confers protection from subsequent **mucosal infections**. Th17 inflammatory responses are an example of the gut-lung axis where immune responses originating in the gut lead to lung pathology [107], while **respiratory infections** inducing a Th17 response can result in **intestinal injury** [108].



Early establishment of the gut microbiome

Birth Mode

Breastfeeding

Type organism

Birth Mode

Differences in the gut microbiota based on birth mode are associated with development of **asthma** and **allergy**.

In children born via **vaginal delivery**, the first microbial contact occurs during the descent through the vaginal tract, along with incidental exposure to **the maternal fecal microbiota**. This results in an overall increase in microbial diversity in the infant **gut microbiota** that is enriched, among others, for **Lactobacillus**, **Prevotella**, and **Bacteroides**,

In contrast, colonization patterns in **caesarean-delivered** neonates most closely resemble the **skin microbiota**, and may be no more specific to the birth mother's skin microbes than to those of other caesarean-delivered neonates ^[58].

Modern trends of increasing rates of **caesarean** section both in the U.S. [128] and worldwide [129] may be causally linked to rising rates of **autoimmune** and **allergic** conditions [130].

Meta-analyses of these studies have found about a **20% increased risk** of **asthma** associated with delivery by **caesarean section** ^[131, 132].

Breastfeeding

Breastfeeding plays an important role in shaping the early gut microbiota [112, 113, 133]. In addition to the marked difference between breast-fed and bottle-fed infants, there is a rapid shift in the microbiome of breast-fed infants to a more “adult-like” composition after weaning, suggesting a dominant effect of breast milk components on microbiota composition [112].

Breast milk can also guide the development of the infant gut microbiota by serving as a source of nutrients for microorganisms [134],

delivering immune-active compounds, including maternally secreted IgA, to the infant gut [135-137] and transmitting microbes present in the breast milk itself to the nascent microbial community [138-141]. While decreased rates of breastfeeding [142, 143] show a similar temporal association with increased prevalence of autoimmune and allergic disorders,

Similar to caesarean section, there are tantalizing associations between breastfeeding, the microbiota and asthma.

Antimicrobials

Antibiotics profoundly affect the microbiota ^[149-151] There is intense interest in the effect of antibiotic use in infancy or early childhood and subsequent asthma risk [153-156]. Currently, no universal agreement exists regarding the role of antibiotics in the risk of asthma in humans, but the potential negative effects of antibiotics on the microbiome and physiology have been demonstrated in mice. Antibiotic exposure during a “critical window” of gut microbial development can result in lasting consequences for the host ^[157-159]. This critical window is known to be important for allergic airway inflammation: antibiotic treatment of neonatal mice increases susceptibility to asthma, while treatment of adult mice does not [160]. This effect also extends to the perinatal period, where treatment with antibiotics can increase susceptibility to allergic asthma ^[161, 162].


Do bacteria have a role in asthma development?

The clearest evidence stems from studying asthma exacerbations or wheezing episodes. In clinical studies viruses can be detected in up to 90% of such episodes [1], with rhinovirus being most commonly identified, followed by respirator syncytial virus (RSV) in the first years of life. Other virus such as parainfluenza, metapneumovirus, coronavirus, adenovirus, influenza and enteroviruses have also been implicated, mostly in older patients. In ,10% of episodes multiple viruses were found [2].



In a Danish birth cohort, colonisation with *H. influenzae* early in life was a risk factor for subsequent asthma.

Obtained aspirates from the hypopharyngeal region of healthy infants at the age of 1 month, cultured the aspirates for *H. influenzae*, *S. pneumoniae*, *M. catarrhalis* and *Staphylococcus aureus* and prospectively followed the children until 5 yrs of age. Colonisation of the upper airways with *S. pneumoniae*, *H. influenzae* or *M. catarrhalis*, but not *S. aureus*, was a predictor of recurrent wheeze and asthma at 5 yrs of age ^[15].



In this issue of the European Respiratory Journal, HOLLAMS et al.^[16] report an association between asthma and levels of specific IgE antibodies against *S. aureus enterotoxin* (SAE) and surface antigens of *H. influenzae* and *S. pneumoniae*.

Furthermore, these authors showed that this IgE response to RSV early in life was related to recurring wheezing, but not to lung function and allergic sensitisation at age 7–8 yrs [18]. Thus, these findings may suggest that IgE antibodies to RSV occur independently of atopy and may be indicative of an ongoing asthmatic process. IgE antibodies towards bacterial antigens have also been described previously.

In the study by HOLLAMS et al. ^[16] a positive association between **IgE levels** against **SAE** and an inverse relationship between IgE levels to **H. influenzae** and **S. pneumonia** surface antigens and **asthma** in a cohort of 1,380 teenagers is reported.

SAE and **H. influenzae** and **S. pneumonia** surface antigens interact quite differently with the immune system. SAE acts as superantigen which can **directly activate T-cells** and can shift the cytokine pattern towards a **T-helper (Th)2 profile**. Thus, **IgE antibodies to SAE** may be regarded as seconding the **atopic** process as suggested by the authors. In turn, **H. influenzae** and **S. pneumonia** surface antigens have to be processed and presented by antigen presenting cells (APC) to activate T-cells and, thus, may **not directly induce a Th2 response**

The effects of **Th2 cytokines**, such as **IL-4** and **IL-13**, seem far more complex than just impacting on **IgE production** [20]. As HOLLAMS et al. [16] discuss there is some evidence that

Thus, **bacterial IgE to H. influenzae** and **S. pneumonia** surface antigens might merely reflect such a **downregulating Th2 response** and exacerbations induced by **viral infections**. In this scenario a Th2 response would merely be **indicative of an augmented Th1 response** brought about by certain **bacterial exposures** in the airways .

Thus, indirectly corroborate the notion of an increased asthma risk by airway **colonisation** with “**asthmato-genic**” **bacterial species**.

KEY POINTS

Common **atypical** and **non atypical bacterial** infections are associated with **asthma symptoms** and **onset**.

Antibiotics mitigate asthma severity.

The microbiome in **infancy** and **adult** life play an integral role in asthma **pathogenesis** and **persistence**.

